

DEPARTMENT OF HEALTH AND HUMAN SERVICES
NATIONAL INSTITUTES OF HEALTH

Supplementary Tables

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NATIONAL INSTITUTES OF HEALTH
Budget Request by Institute/Center
FY 2013 President's Budget
(Dollars in Thousands)

| Institute/Center | FY 2011 Actual ² | FY 2012 Enacted ⁴ | FY 2013 President's Budget | FY 2013 +/- FY 2012 |
|---|-----------------------------|------------------------------|----------------------------------|------------------------|
| NCI..... | \$5,050,073 | \$5,066,147 | \$5,068,864 | \$2,717 |
| NHLBI..... | 3,065,254 | 3,075,358 | 3,076,067 | 709 |
| NIDCR..... | 408,920 | 410,222 | 408,212 | (2,010) |
| NIDDK..... | 1,939,210 | 1,944,905 | 1,942,107 | (2,798) |
| NINDS..... | 1,619,276 | 1,624,429 | 1,624,707 | 278 |
| NIAID..... | 4,768,181 | 4,485,097 | 4,495,307 | 10,210 |
| NIGMS..... | 2,368,492 | 2,427,189 | 2,378,835 | (48,354) |
| NICHD..... | 1,315,638 | 1,319,825 | 1,320,600 | 775 |
| NEI..... | 699,650 | 701,876 | 693,015 | (8,861) |
| NIHES..... | 682,582 | 684,755 | 684,030 | (725) |
| NIA..... | 1,098,631 | 1,102,128 | 1,102,650 | 522 |
| NIAMS..... | 533,450 | 535,148 | 535,610 | 462 |
| NIDCD..... | 414,458 | 415,778 | 417,297 | 1,519 |
| NIMH..... | 1,474,809 | 1,478,503 | 1,479,204 | 701 |
| NIDA..... | 1,048,776 | 1,052,114 | 1,054,001 | 1,887 |
| NIAAA..... | 457,516 | 458,972 | 457,104 | (1,868) |
| NINR..... | 144,138 | 144,597 | 144,153 | (444) |
| NHGRI..... | 510,637 | 512,263 | 511,370 | (893) |
| NIBIB..... | 345,175 | 337,954 | 336,896 | (1,058) |
| NIMHD..... | 276,335 | 276,111 | 279,389 | 3,278 |
| NCCR..... | - | - | - | - |
| NCCAM..... | 127,498 | 127,904 | 127,930 | 26 |
| NCATS..... | 553,592 | 574,713 | 639,033 | 64,320 |
| FIC..... | 69,318 | 69,539 | 69,758 | 219 |
| NLM..... | 362,456 | 365,043 | 372,651 | 7,608 |
| OD..... | 1,454,323 | 1,457,381 | 1,429,161 | (28,220) |
| B&F ³ | 49,900 | 125,308 | 125,308 | 0 |
| Type 1 Diabetes ¹ | (150,000) | (150,000) | (150,000) | 0 |
| Subtotal, Labor/HHS Discretionary Budget Authority | 30,688,288 | 30,623,259 | 30,623,259 | \$0 |
| Superfund (Interior)..... | 79,054 | 78,928 | 78,928 | 0 |
| Total, Discretionary Budget Authority..... | \$30,767,342 | \$30,702,187 | \$30,702,187 | \$0 |
| Type 1 Diabetes..... | 150,000 | 150,000 | 150,000 | 0 |
| Total, Budget Authority..... | \$30,917,342 | \$30,852,187 | \$30,852,187 | \$0 |
| NLM Program Evaluation..... | 8,200 | 8,200 | 8,200 | 0 |
| Total, Program Level..... | \$30,925,542 | \$30,860,387 | \$30,860,387 | \$0 |

¹ Number of grants and dollars for mandatory Type 1 Diabetes are distributed by mechanism above; therefore, Type 1 Diabetes amount is deducted to provide subtotals only for the Labor/ HHS Budget Authority.

² FY 2011 figures are shown on a comparable basis to FY 2012 and FY 2013, reflecting the NCATS reorganization in FY 2012 and the Global AIDS transfer in the amount of \$297.3 million in FY 2011, as well as the \$998 thousand transfer from HHS for the Interagency Autism Coordinating Committee in FY 2011.

³ Includes B&F appropriation plus facilities dollars appropriated to NCI.

⁴ Reflects Secretary's Transfer of \$8.7 million and the termination of the Global AIDS transfer in FY 2012.

National Institutes of Health
FY 2013 Congressional Justification
Budget Authority: Appropriations Adjustments for FY 2011
(Dollars in Thousands)

| IC | FY 2011 Budget Authority | Real Transfers | | | Comparable Adjustments | | | | Comparable Budget Authority |
|-------------------------|-----------------------------|--------------------|----------------------------|------------------------------|------------------------------------|-------------|----------------|------------------------|--------------------------------|
| | | Type I Diabetes | FY 2011 Global AIDS Tr. | FY 2011 Transfer from HHS | NCATS/Reallocation of Resources | TRND | NCBI and PA | FY 2011 Global AIDS | |
| NCI | \$5,058,577 | | | | | -4,163 | -4,341 | | \$5,050,073 |
| NHLBI | 3,069,723 | | | | 692 | -2,527 | -2,634 | | 3,065,254 |
| NIDCR | 409,608 | | | | | -337 | -351 | | 408,920 |
| NIDDK | 1,792,224 | 150,000 | | | | -1,476 | -1,538 | | 1,939,210 |
| NINDS | 1,622,003 | | | | | -1,335 | -1,392 | | 1,619,276 |
| NIAID | 4,775,968 | | -297,300 | | | -3,689 | -4,098 | 297,300 | 4,768,181 |
| NIGMS | 2,033,782 | | | | 338,129 | -1,674 | -1,745 | | 2,368,492 |
| NICHD | 1,317,854 | | | | | -1,085 | -1,131 | | 1,315,638 |
| NEI | 700,828 | | | | | -577 | -601 | | 699,650 |
| NIEHS | 683,724 | | | | | -555 | -587 | | 682,582 |
| NIA | 1,100,481 | | | | | -906 | -944 | | 1,098,631 |
| NIAMS | 534,349 | | | | | -440 | -459 | | 533,450 |
| NIDCD | 415,155 | | | | | -341 | -356 | | 414,458 |
| NIMH | 1,476,293 | | | 998 | | -1,215 | -1,267 | | 1,474,809 |
| NIDA | 1,050,542 | | | | | -865 | -901 | | 1,048,776 |
| NIAAA | 458,286 | | | | | -377 | -393 | | 457,516 |
| NINR | 144,381 | | | | | -119 | -124 | | 144,138 |
| NHGRI | 511,497 | | | | | -421 | -439 | | 510,637 |
| NIBIB | 313,802 | | | | 31,900 | -258 | -269 | | 345,175 |
| NIMHD | 209,714 | | | | 66,974 | -173 | -180 | | 276,335 |
| NCRR | 1,257,754 | | | | -1,256,727 | -1,027 | 0 | | 0 |
| NCCAM | 127,713 | | | | | -105 | -110 | | 127,498 |
| NCATS | - | | | | 530,671 | 24,000 | -1,079 | | 553,592 |
| FIC | 69,436 | | | | | -58 | -60 | | 69,318 |
| NLM | 336,733 | | | | | -277 | 26,000 | | 362,456 |
| OD | 1,166,963 | | | | 288,361 | 0 | -1,001 | | 1,454,323 |
| B & F | 49,900 | | | | | 0 | 0 | | 49,900 |
| Total NIH | \$30,687,290 | \$150,000 | -\$297,300 | \$998 | \$ - | \$ - | \$ - | \$297,300 | \$30,838,288 |
| Superfund | 79,054 | | | | | | | | 79,054 |
| Ttl, w/Supfnd | \$30,766,344 | \$150,000 | -\$297,300 | \$998 | \$ - | \$ - | \$ - | \$297,300 | \$30,917,342 |
| NLM Pgm. Eval. | 8,200 | | | | | | | | 8,200 |
| Total Pgm. Level | \$30,774,544 | \$150,000 | -\$297,300 | \$998 | \$ - | \$ - | \$ - | \$297,300 | \$30,925,542 |

NATIONAL INSTITUTES OF HEALTH
FY 2013 Congressional Justification
Budget Authority: Appropriations Adjustments for FY 2012
(Dollars in Thousands)

| IC | FY 2012 Budget Authority | Real Transfers | | Comparable Adjustments | | |
|---------------------------|-----------------------------|--------------------|-------------------------|------------------------|----------------|--------------------------------|
| | | Type I Diabetes | Secretary's Transfer | Subtotal B.A. | NCBI and PA | Comparable Budget Authority |
| NCI | \$5,072,183 | | -\$1,445 | \$5,070,738 | -\$4,591 | \$5,066,147 |
| NHLBI | 3,079,021 | | -877 | 3,078,144 | -2,786 | \$3,075,358 |
| NIDCR | 410,710 | | -117 | 410,593 | -371 | \$410,222 |
| NIDDK | 1,797,044 | 150,000 | -512 | 1,946,532 | -1,627 | \$1,944,905 |
| NINDS | 1,626,365 | | -463 | 1,625,902 | -1,473 | \$1,624,429 |
| NIAID | 4,490,711 | | -1,279 | 4,489,432 | -4,335 | \$4,485,097 |
| NIGMS | 2,430,036 | | -693 | 2,429,343 | -2,154 | \$2,427,189 |
| NICHHD | 1,321,398 | | -376 | 1,321,022 | -1,197 | \$1,319,825 |
| NEI | 702,712 | | -200 | 702,512 | -636 | \$701,876 |
| NIEHS | 685,571 | | -195 | 685,376 | -620 | \$684,756 |
| NIA | 1,103,441 | | -314 | 1,103,127 | -999 | \$1,102,128 |
| NIAMS | 535,786 | | -153 | 535,633 | -485 | \$535,148 |
| NIDCD | 416,273 | | -119 | 416,154 | -376 | \$415,778 |
| NIMH | 1,480,265 | | -422 | 1,479,843 | -1,340 | \$1,478,503 |
| NIDA | 1,053,367 | | -300 | 1,053,067 | -953 | \$1,052,114 |
| NIAAA | 459,519 | | -131 | 459,388 | -416 | \$458,972 |
| NINR | 144,769 | | -41 | 144,728 | -131 | \$144,597 |
| NHGRI | 512,873 | | -146 | 512,727 | -464 | \$512,263 |
| NIBIB | 338,357 | | -96 | 338,261 | -307 | \$337,954 |
| NIMHD | 276,440 | | -79 | 276,361 | -250 | \$276,111 |
| NCCAM | 128,057 | | -36 | 128,021 | -117 | \$127,904 |
| NCATS | 575,366 | | -164 | 575,202 | -489 | \$574,713 |
| FIC | 69,622 | | -20 | 69,602 | -63 | \$69,539 |
| NLM | 337,639 | | -96 | 337,543 | 27,500 | \$365,043 |
| OD | 1,459,117 | | -416 | 1,458,701 | -1,320 | \$1,457,381 |
| B & F | 125,344 | | -36 | 125,308 | - | \$125,308 |
| Total NIH | \$30,631,986 | \$150,000 | -\$8,727 | \$30,773,259 | \$0 | \$30,773,259 |
| Superfund | 78,928 | | | 78,928 | | 78,928 |
| Total, w/Superfund | \$30,710,914 | \$150,000 | -\$8,727 | \$30,852,187 | \$0 | \$30,852,187 |
| NLM Pgm. Eval. | 8,200 | | | 8,200 | | 8,200 |
| Total Pgm. Level | \$30,719,114 | \$150,000 | -\$8,727 | \$30,860,387 | \$0 | \$30,860,387 |

NATIONAL INSTITUTES OF HEALTH
FY 2013 Congressional Justification
Budget Mechanism - Total ¹
(Dollars in Thousands)

| MECHANISM | FY 2011 Actual ⁵ | | FY 2012 Enacted ⁶ | | FY 2013 PB | | Change | |
|---|--------------------------------|--------------------------------|---------------------------------|--------------------------------|---------------------|--------------------------------|------------------|-----------------------------|
| | No. | Amount | No. | Amount | No. | Amount | No. | Amount |
| Research Grants: | | | | | | | | |
| Research Projects: | | | | | | | | |
| Noncompeting | 26,166 | \$11,865,527 | 25,614 | \$11,937,753 | 24,837 | \$11,548,696 | (777) | (\$389,057) |
| Administrative Supplements | 1,253 | 195,043 | 1,187 | 171,987 | 1,120 | 159,051 | (67) | (12,936) |
| Competing: | | | | | | | | |
| Renewal | 2,108 | 1,039,341 | 2,203 | 1,015,652 | 2,329 | 1,199,173 | 126 | 183,521 |
| New | 6,572 | 2,671,269 | 6,512 | 2,673,075 | 7,057 | 2,847,190 | 545 | 174,115 |
| Supplements | 26 | 10,858 | 28 | 10,445 | 29 | 10,103 | 1 | (342) |
| Subtotal, Competing | 8,706 | \$3,721,468 | 8,743 | \$3,699,172 | 9,415 | \$4,056,466 | 672 | \$357,294 |
| Subtotal, RPGs | 34,872 | \$15,782,038 | 34,357 | \$15,808,912 | 34,252 | \$15,764,213 | (105) | (\$44,699) |
| SBIR/STTR | 1,494 | \$646,222 | 1,587 | \$680,351 | 1,636 | \$699,262 | 49 | 18,911 |
| Research Project Grants | 36,366 | \$16,428,260 | 35,944 | \$16,489,263 | 35,888 | \$16,463,475 | (56) | (\$25,788) |
| Research Centers: | | | | | | | | |
| Specialized/Comprehensive | 1,227 | \$2,237,467 | 1,234 | \$2,281,719 | 1,196 | \$2,224,520 | (38) | (\$57,199) |
| Clinical Research | 72 | 437,873 | 59 | 406,028 | 62 | 406,586 | 3 | 558 |
| Biotechnology | 95 | 147,396 | 103 | 147,571 | 88 | 140,567 | (15) | (7,004) |
| Comparative Medicine | 50 | 137,719 | 47 | 136,210 | 47 | 135,945 | 0 | (265) |
| Research Centers in Minority Institutions | 23 | 59,136 | 23 | 59,136 | 23 | 58,697 | 0 | (439) |
| Research Centers | 1,467 | \$3,019,591 | 1,466 | \$3,030,664 | 1,416 | \$2,966,315 | (50) | (\$64,349) |
| Other Research: | | | | | | | | |
| Research Careers | 3,919 | \$625,950 | 3,909 | \$635,122 | 3,908 | \$634,646 | (1) | (\$476) |
| Cancer Education | 90 | 32,590 | 90 | 32,590 | 90 | 32,606 | 0 | 16 |
| Cooperative Clinical Research | 414 | 448,384 | 418 | 454,591 | 406 | 446,679 | (12) | (7,912) |
| Biomedical Research Support | 135 | 70,232 | 130 | 68,026 | 130 | 68,163 | 0 | 137 |
| Minority Biomedical Research Support | 367 | 104,455 | 374 | 111,280 | 367 | 109,182 | (7) | (2,098) |
| Other | 1,750 | 521,623 | 1,833 | 531,466 | 1,817 | 531,992 | (16) | 526 |
| Other Research | 6,675 | \$1,803,234 | 6,754 | \$1,833,075 | 6,718 | \$1,823,268 | (36) | (\$9,807) |
| Total Research Grants | 44,508 | \$21,251,085 | 44,164 | \$21,353,002 | 44,022 | \$21,253,058 | (142) | (\$99,944) |
| Ruth L. Kirschstein Training Awards: | | | | | | | | |
| Individual Awards | 3,027 | \$127,442 | 3,020 | \$128,791 | 2,961 | \$128,672 | (59) | (\$119) |
| Institutional Awards | 13,861 | 644,324 | 13,650 | 648,970 | 13,400 | 646,646 | (250) | (\$2,324) |
| Total Research Training | 16,888 | \$771,766 | 16,670 | \$777,761 | 16,361 | \$775,318 | (309) | (\$2,443) |
| Research & Development Contracts (SBIR/STTR) | 2,386 <i>113</i> | \$3,227,139 <i>\$38,067</i> | 2,369 <i>108</i> | \$2,967,896 <i>\$44,372</i> | 2,391 <i>107</i> | \$3,075,882 <i>\$46,357</i> | 22 <i>(1)</i> | \$107,986 <i>\$1,985</i> |
| Intramural Research | | \$3,398,791 | | \$3,399,495 | | \$3,420,425 | 0 | 20,930 |
| Research Management and Support | | 1,526,330 | | 1,533,406 | | 1,535,097 | 0 | 1,691 |
| Office of the Director - Appropriation ² | | \$1,454,323 | | \$1,457,381 | | \$1,429,161 | | (\$28,220) |
| Office of the Director - Other | | 605,428 | | 608,471 | | 580,251 | | (28,220) |
| ORIP & SEPA ² | | 305,874 | | 303,980 | | 303,980 | | 0 |
| Common Fund ² | | 543,021 | | 544,930 | | 544,930 | | 0 |
| Buildings and Facilities ³ | | 57,749 | | 133,228 | | 133,228 | | 0 |
| Appropriation | | 49,900 | | 125,308 | | 125,308 | | 0 |
| Type 1 Diabetes ⁴ | | (150,000) | | (150,000) | | (150,000) | | 0 |
| Subtotal, Labor/HHS Budget Authority | | \$30,688,288 | | \$30,623,259 | | \$30,623,259 | | 0 |
| Interior Appropriation for Superfund Res. | | 79,054 | | 78,928 | | 78,928 | | 0 |
| Total, NIH Discretionary B.A. | | \$30,767,342 | | \$30,702,187 | | \$30,702,187 | | \$0 |
| Type 1 Diabetes | | 150,000 | | 150,000 | | 150,000 | | 0 |
| Total, NIH Budget Authority | | \$30,917,342 | | \$30,852,187 | | \$30,852,187 | | \$0 |
| NLM Program Evaluation | | 8,200 | | 8,200 | | 8,200 | | 0 |
| Total, Program Level | | \$30,925,542 | | \$30,860,387 | | \$30,860,387 | | \$0 |

¹ All items in italics are "non-adds"; items in parenthesis are subtractions. FY 2011 figures are shown on a comparable basis to FY 2012 and FY 2013, reflecting the NCATS reorganization in FY 2012 and the Global AIDS transfer in the amount of \$297.3 million in FY 2011.

² Number of grants and dollars for the Common Fund, ORIP and SEPA components of OD are distributed by mechanism and are noted here as a non-add. The Office of the Director - Appropriations also is noted as a non-add since the remaining funds are accounted for under OD - Other.

³ Includes B&F appropriation plus facilities dollars appropriated to NCI.

⁴ Number of grants and dollars for mandatory Type 1 Diabetes are distributed by mechanism above; therefore, Type 1 Diabetes amount is deducted to provide subtotals only for the Labor/ HHS Budget Authority.

⁵ Reflects NCATS reorganization and Global AIDS transfer, as well as the \$998 thousand transfer from HHS for the Interagency Autism Coordinating Committee in FY 2011.

⁶ Reflects Secretary's Transfer of \$8.7 million and the termination of the Global AIDS transfer in FY 2012.

NATIONAL INSTITUTES OF HEALTH

Object Classifications

Budget Authority by Object Including Type I Diabetes Funds* (Dollars in Thousands)

| Object Class | FY 2012 Enacted | FY 2013 PB | Increase or Decrease |
|---|---------------------|---------------------|-------------------------|
| 11.1 Full-time permanent | \$885,426 | \$885,518 | \$92 |
| 11.3 Other than full-time permanent | 491,221 | 492,340 | 1,119 |
| 11.5 Other Personnel Compensation | 43,256 | 43,394 | 138 |
| 11.7 Military Personnel | 22,393 | 22,826 | 433 |
| 11.8 Special personnel services payments | 166,884 | 167,411 | 527 |
| Total, Personnel Compensation | \$1,609,180 | \$1,611,489 | \$2,309 |
| 12.0 Personnel Benefits | \$411,488 | \$409,867 | (\$1,621) |
| 12.2 Military Personnel Benefits | 15,799 | 15,897 | 98 |
| 13.0 Benefits for Former Personnel | 0 | 0 | 0 |
| Subtotal, Pay Costs | \$2,036,467 | \$2,037,253 | \$786 |
| 21.0 Travel and transportation of persons | 55,388 | 52,304 | (3,084) |
| 22.0 Transportation of things | 5,417 | 5,367 | (50) |
| 23.1 Rental payments to GSA | 1,038 | 1,038 | 0 |
| 23.2 Rental payments to others | 920 | 919 | (1) |
| 23.3 Communications, utilities and miscellaneous charges | 26,232 | 26,046 | (186) |
| 24.0 Printing and reproduction | 7,325 | 6,965 | (360) |
| 25.1 Consulting services | 118,172 | 132,579 | 14,407 |
| 25.2 Other services | 718,717 | 734,182 | 15,465 |
| 25.3 Purchase of goods and services from government accounts | 3,038,357 | 3,257,531 | 219,174 |
| 25.4 Operation and maintenance of facilities | 203,590 | 202,443 | (1,147) |
| 25.5 Research and development contracts | 2,011,302 | 1,937,116 | (74,186) |
| 25.6 Medical care | 17,164 | 17,150 | (14) |
| 25.7 Operation and maintenance of equipment | 81,462 | 81,177 | (285) |
| 25.8 Subsistence and support of persons | 2 | 2 | 0 |
| 25.0 Subtotal, Other Contractual Services | \$6,188,766 | \$6,362,180 | \$173,414 |
| 26.0 Supplies and materials | \$192,309 | \$192,610 | \$301 |
| 31.0 Equipment | \$122,016 | \$116,625 | (\$5,391) |
| 32.0 Land and structures | 16 | 16 | 0 |
| 33.0 Investments and loans | 0 | 0 | 0 |
| 41.0 Grants, subsidies and contributions | 22,137,337 | 21,971,908 | (165,429) |
| 42.0 Insurance claims and indemnities | 2 | 2 | 0 |
| 43.0 Interest and dividends | 26 | 26 | 0 |
| 44.0 Refunds | 0 | 0 | 0 |
| Subtotal, Non-Pay Costs | \$28,736,792 | \$28,736,006 | (\$786) |
| Total Budget Authority | \$30,773,259 | \$30,773,259 | \$0 |

*Excludes Superfund appropriation. NLM program evaluation funds are excluded from admin. costs; only the extramural portion of IMPAC II and ORI are excluded.

NATIONAL INSTITUTES OF HEALTH
Budget Authority by Object Including
Service and Supply Fund and Management Fund ¹
(Dollars in Thousands)

| Object Classes | FY 2012 Enacted | FY 2013 President's Budget | Increase or Decrease |
|---|--------------------|-------------------------------|-------------------------|
| Personnel Compensation: | | | |
| 11.1 Full-Time Permanent | \$1,201,091 | \$1,201,183 | \$92 |
| 11.3 Other than Full-Time Permanent | 610,309 | 611,428 | 1,119 |
| 11.5 Other Personnel Compensation | 68,223 | 68,361 | 138 |
| 11.7 Military Personnel | 31,760 | 32,193 | 433 |
| 11.8 Special Personnel Services Payments | 171,421 | 171,948 | 527 |
| Total, Personnel Compensation | 2,082,804 | 2,085,113 | 2,309 |
| 12.1 Civilian Personnel Benefits | 545,373 | 543,752 | -1,621 |
| 12.2 Military Personnel Benefits | 21,618 | 21,716 | 98 |
| 13.0 Benefits for Former Personnel | 708 | 708 | 0 |
| Subtotal, Pay Costs | 2,650,503 | 2,651,289 | 786 |
| 21.0 Travel & Transportation of Persons | 59,084 | 56,000 | -3,084 |
| 22.0 Transportation of Things | 7,021 | 6,971 | -50 |
| 23.1 Rental Payments to GSA | 27,753 | 27,753 | 0 |
| 23.2 Rental Payments to Others | 110,518 | 110,517 | -1 |
| 23.3 Communications, Utilities & Miscellaneous Charges | 152,813 | 152,627 | -186 |
| 24.0 Printing & Reproduction | 11,413 | 11,053 | -360 |
| 25.1 Consulting Services | 292,417 | 306,824 | 14,407 |
| 25.2 Other Services | 1,064,800 | 1,080,265 | 15,465 |
| 25.3 Purchase of Goods & Services from Government Accounts | 1,282,556 | 1,501,730 | 219,174 |
| 25.4 Operation & Maintenance of Facilities | 289,486 | 288,339 | -1,147 |
| 25.5 Research & Development Contracts | 2,011,298 | 1,937,112 | -74,186 |
| 25.6 Medical Care | 26,092 | 26,078 | -14 |
| 25.7 Operation & Maintenance of Equipment | 168,657 | 168,372 | -285 |
| 25.8 Subsistence & Support of Persons | 2 | 2 | 0 |
| 25.0 Subtotal, Other Contractual Services | 5,135,308 | 5,308,722 | 173,414 |
| 26 Supplies & Materials | 310,021 | 310,322 | 301 |
| 31.0 Equipment | 171,374 | 165,983 | -5,391 |
| 32.0 Land and Structures | 18 | 18 | 0 |
| 33.0 Investments & Loans | 0 | 0 | 0 |
| 41.0 Grants, Subsidies & Contributions | 22,137,337 | 21,971,908 | -165,429 |
| 42.0 Insurance Claims & Indemnities | 7 | 7 | 0 |
| 43.0 Interest & Dividends | 89 | 89 | 0 |
| 44.0 Refunds | 0 | 0 | 0 |
| Subtotal, Non-Pay Costs | 28,122,756 | 28,121,970 | -786 |
| Total Budget Authority | 30,773,259 | 30,773,259 | 0 |

¹ Reflects request to Labor/HHS/Education Subcommittee, and Type 1 Diabetes provided through P.L. 107-360. See pages SI-7 to SI-14 for additional information on the NIH Management Fund and Service and Supply Fund.

NATIONAL INSTITUTES OF HEALTH

LHHS Discretionary including Type 1 Diabetes Mandatory Appropriation Salaries and Expenses (dollars in thousands)

| OBJECT CLASSES | FY 2012 Enacted | FY 2013 PB | Increase or Decrease |
|---|--------------------|--------------------|-------------------------|
| Personnel Compensation: | | | |
| Full-time permanent (11.1) | \$885,426 | \$885,518 | \$92 |
| Other than full-time permanent (11.3) | 491,221 | 492,340 | 1,119 |
| Other personnel compensation (11.5) | 43,256 | 43,394 | 138 |
| Military personnel (11.7) | 22,393 | 22,826 | 433 |
| Special personnel services payments (11.8) | 166,884 | 167,411 | 527 |
| Total Personnel Compensation (11.9) | \$1,609,180 | \$1,611,489 | \$2,309 |
| Civilian personnel benefits (12.1) | \$411,488 | \$409,867 | (\$1,621) |
| Military personnel benefits (12.2) | 15,799 | 15,897 | 98 |
| Benefits to former personnel (13.0) | 0 | 0 | 0 |
| Subtotal, Pay Costs | \$2,036,467 | \$2,037,253 | \$786 |
| Travel (21.0) | \$55,388 | \$52,304 | (\$3,084) |
| Transportation of things (22.0) | 5,417 | 5,367 | (50) |
| Rental payments to others (23.2) | 920 | 919 | (1) |
| Communications, utilities and miscellaneous charges (23.3) | 26,232 | 26,046 | (186) |
| Printing and reproduction (24.0) | 7,325 | 6,965 | (360) |
| Other Contractual Services: | | | |
| Advisory and assistance services (25.1) | 118,172 | 132,579 | 14,407 |
| Other services (25.2) | 718,717 | 734,182 | 15,465 |
| Purchases from government accounts (25.3) ¹ | 2,040,104 | 2,056,632 | 16,528 |
| Operation and maintenance of facilities (25.4) | 187,349 | 186,714 | (635) |
| Operation and maintenance of equipment (25.7) | 81,462 | 81,177 | (285) |
| Subsistence and support of persons (25.8) | 2 | 2 | 0 |
| Subtotal Other Contractual Services | \$3,145,806 | \$3,191,286 | \$45,480 |
| Supplies and materials (26.0) | \$189,886 | \$190,161 | \$275 |
| Subtotal, Non-Pay Costs | \$3,430,974 | \$3,473,048 | \$42,074 |
| Total, Administrative Costs | \$5,467,441 | \$5,510,301 | \$42,860 |

¹ Excludes purchases from government accounts (OC 25.3) related to Program Evaluations and Inter-agency Agreements related to the Research and Development Contracts mechanism.

**National Institutes of Health
FY 2013 Congressional Justification**

Detail of Full-Time Equivalents

| Institutes and Centers (ICs) | FY 2011 Actual * | FY 2012 Enacted | FY 2013 President's Budget |
|-------------------------------------|-----------------------------|----------------------------|---|
| NCI | 3,135 | 3,135 | 3,104 |
| NHLBI | 917 | 917 | 908 |
| NIDCR | 251 | 251 | 248 |
| NIDDK | 637 | 637 | 631 |
| NINDS | 509 | 509 | 503 |
| NIAID | 1,859 | 1,859 | 1,841 |
| NIGMS* | 165 | 165 | 163 |
| NICHD | 611 | 611 | 605 |
| NEI | 254 | 254 | 252 |
| NIEHS | 676 | 676 | 669 |
| NIA | 404 | 404 | 400 |
| NIAMS | 234 | 234 | 232 |
| NIDCD | 140 | 140 | 138 |
| NIMH | 609 | 609 | 603 |
| NIDA | 386 | 386 | 382 |
| NIAAA | 225 | 225 | 223 |
| NINR | 74 | 74 | 73 |
| NHGRI* | 340 | 340 | 337 |
| NIBIB* | 98 | 98 | 97 |
| NIMHD* | 56 | 56 | 55 |
| NCRR* | 0 | 0 | 0 |
| NCCAM | 69 | 69 | 69 |
| NCATS* | 105 | 105 | 104 |
| FIC | 58 | 58 | 58 |
| Subtotal, ICs | 11,813 | 11,813 | 11,695 |
| NLM | 804 | 804 | 796 |
| OD* | 697 | 697 | 690 |
| Central Services | 5,250 | 5,250 | 5,197 |
| Subtotal, NIH | 18,564 | 18,564 | 18,378 |
| CRADA | 5 | 5 | 5 |
| Total, NIH | 18,569 | 18,569 | 18,383 |

* FTEs for FY 2011 are comparable to NCATS reorganization.

NATIONAL INSTITUTES OF HEALTH

History of Obligations by Institute or Center

Fiscal Years 2004 - 2013 ¹

(Dollars in Thousands)

| Institutes and Centers | FY 2004 Actual | FY 2005 Actual | FY 2006 Actual | FY 2007 Actual | FY 2008 Actual | FY 2009 Actual | FY 2010 Actual ² | FY 2011 Actual | FY 2011 Comparable BA | FY 2012 Enacted | FY 2013 PB |
|-------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|--------------------------------|-------------------|--------------------------|--------------------|--------------|
| NCI | \$4,727,365 | \$4,797,731 | \$4,754,121 | \$4,792,615 | \$4,827,552 | \$4,968,973 | \$5,098,147 | \$5,058,105 | \$5,050,073 | \$5,066,147 | \$5,068,864 |
| NHLBI | 2,882,601 | 2,922,573 | 2,893,527 | 2,922,323 | 2,937,333 | 3,015,689 | 3,093,501 | 3,069,550 | 3,065,254 | 3,075,358 | 3,076,067 |
| NIDCR | 382,013 | 389,346 | 385,589 | 389,060 | 391,136 | 402,652 | 412,527 | 409,549 | 408,920 | 410,222 | 408,212 |
| NIDDK ³ | 1,679,473 | 1,702,592 | 1,688,511 | 1,702,990 | 1,712,188 | 1,761,338 | 1,958,905 | 1,942,155 | 1,939,210 | 1,944,905 | 1,942,107 |
| NINDS | 1,498,203 | 1,529,654 | 1,519,971 | 1,532,977 | 1,549,543 | 1,593,344 | 1,633,568 | 1,622,001 | 1,619,276 | 1,624,429 | 1,624,707 |
| NIAID | 4,141,769 | 4,276,433 | 4,274,201 | 4,264,034 | 4,286,410 | 4,702,572 | 4,515,426 | 4,478,595 | 4,768,181 | 4,485,097 | 4,495,307 |
| NIGMS | 1,915,130 | 1,931,690 | 1,916,927 | 1,932,481 | 1,942,783 | 1,997,801 | 2,048,112 | 2,033,663 | 2,368,492 | 2,427,189 | 2,378,835 |
| NICHD | 1,247,939 | 1,262,273 | 1,252,598 | 1,252,765 | 1,259,435 | 1,294,894 | 1,327,349 | 1,317,682 | 1,315,638 | 1,319,825 | 1,320,600 |
| NEI | 650,961 | 664,840 | 660,340 | 665,863 | 669,534 | 688,480 | 705,792 | 700,781 | 699,650 | 701,876 | 693,015 |
| NIEHS | 630,254 | 640,405 | 630,447 | 726,131 | 729,088 | 662,820 | 774,008 | 683,557 | 682,582 | 684,755 | 684,030 |
| NIA | 1,021,376 | 1,045,339 | 1,036,559 | 1,045,468 | 1,050,998 | 1,080,796 | 1,108,208 | 1,100,445 | 1,098,631 | 1,102,128 | 1,102,650 |
| NIAMS | 499,368 | 507,843 | 502,954 | 507,292 | 510,358 | 524,872 | 538,028 | 534,260 | 533,450 | 535,148 | 535,610 |
| NIDCD | 380,737 | 391,679 | 389,623 | 392,937 | 395,515 | 407,259 | 418,001 | 415,104 | 414,458 | 415,778 | 417,297 |
| NIMH | 1,379,225 | 1,403,007 | 1,390,009 | 1,402,385 | 1,414,541 | 1,450,491 | 1,493,510 | 1,477,257 | 1,474,809 | 1,478,503 | 1,479,204 |
| NIDA | 991,510 | 1,000,056 | 990,405 | 1,001,952 | 1,007,295 | 1,032,759 | 1,066,909 | 1,050,519 | 1,048,776 | 1,052,114 | 1,054,001 |
| NIAAA | 427,223 | 435,503 | 431,726 | 435,366 | 437,839 | 450,230 | 461,544 | 458,257 | 457,516 | 458,972 | 457,104 |
| NINR | 134,279 | 137,199 | 136,020 | 137,167 | 137,990 | 141,879 | 145,420 | 144,369 | 144,138 | 144,597 | 144,153 |
| NHGRI | 490,546 | 485,500 | 481,339 | 508,240 | 505,380 | 502,367 | 524,131 | 511,469 | 510,637 | 512,263 | 511,370 |
| NIBIB | 286,684 | 296,324 | 293,954 | 296,380 | 299,726 | 308,208 | 316,028 | 313,787 | 345,175 | 337,954 | 336,896 |
| NIMHD ⁵ | 190,824 | 194,904 | 193,522 | 199,083 | 200,252 | 205,959 | 211,194 | 209,693 | 276,335 | 276,111 | 279,389 |
| NCRR ⁵ | 1,191,556 | 1,108,028 | 1,088,500 | 1,131,618 | 1,153,911 | 1,226,263 | 1,267,021 | 1,257,641 | - | - | - |
| NCCAM ⁵ | 116,590 | 121,333 | 120,294 | 121,369 | 122,013 | 125,471 | 128,615 | 127,706 | 127,498 | 127,904 | 127,930 |
| NCATS | | | | | | | - | - | 553,592 | 574,713 | 639,033 |
| FIC | 65,160 | 66,164 | 65,726 | 66,348 | 66,828 | 68,691 | 69,957 | 69,413 | 69,318 | 69,539 | 69,758 |
| NLM | 310,165 | 312,980 | 311,721 | 321,354 | 323,385 | 330,771 | 340,267 | 336,660 | 362,456 | 365,043 | 372,651 |
| OD | 327,267 | 533,673 | 724,831 | 1,046,557 | 1,111,694 | 1,246,864 | 1,176,994 | 1,166,904 | 1,454,323 | 1,457,381 | 1,429,161 |
| Subtotal | \$27,568,218 | \$28,157,069 | \$28,133,415 | \$28,794,755 | \$29,042,727 | \$30,191,443 | \$31,055,216 | \$30,489,122 | \$30,788,388 | \$30,647,951 | \$30,647,951 |
| B&F ⁴ | 303,254 | 239,246 | 170,456 | 89,114 | 127,227 | 125,581 | 210,975 | 62,161 | 49,900 | 125,308 | 125,308 |
| TOTAL | \$27,871,472 | \$28,396,315 | \$28,303,871 | \$28,883,869 | \$29,169,954 | \$30,317,024 | \$31,155,201 | \$31,169,011 | \$30,838,288 | \$30,773,259 | \$30,773,259 |
| Interior/Superfund | 78,300 | 79,836 | 79,108 | 79,111 | 77,531 | 78,074 | 79,212 | 79,045 | 79,054 | 78,928 | 78,928 |
| Type I Diabetes | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 |
| Total, Budget Authority | \$27,949,772 | \$28,476,151 | \$28,382,979 | \$28,962,980 | \$29,247,485 | \$30,395,098 | \$31,234,413 | \$31,248,056 | \$30,917,342 | \$30,852,187 | \$30,852,187 |

¹ Obligations for actual years includes amounts that were lapsed.

² Correction made to reflect the actual obligations in FY 2011

³ Include funds for Type I Diabetes Initiative.

⁴ Building & Facilities (B&F) program is supported by an indefinite availability period ("no-year") appropriation. As a result, annual levels include obligations from both the current-year appropriation as well as those funded from unobligated balances carried forward from prior-year appropriations.

⁵ Previous version of this table did not properly realign NCRR, NIMHD and NCCAM data to account for IC order change that followed from retitling of NCMHD to NIMHD. This table reflect proper alignment of FY 2010-FY 2012 statistics for these 3 ICs.

NATIONAL INSTITUTES OF HEALTH

History of Obligations by Total Mechanism
Fiscal Years 2004 - 2013
(Dollars in Thousands)

| Budget Mechanism ^{1, 2} | FY 2004 Actual | FY 2005 Actual | FY 2006 Actual | FY 2007 Actual | FY 2008 Actual | FY 2009 Actual | FY 2010 Actual | FY 2011 Actual | FY 2011 Comparable BA | FY 2012 Enacted | FY 2013 PB |
|-------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|--------------------------|--------------------|--------------|
| Res. Project Grants | \$15,165,836 | \$15,426,097 | \$15,313,663 | \$15,333,540 | \$15,688,339 | \$16,139,081 | 16,501,300 | \$16,428,047 | \$16,428,260 | \$16,489,263 | \$16,463,475 |
| Research Centers | 2,545,972 | 2,647,355 | 2,659,653 | 2,709,259 | 2,946,346 | 3,018,711 | 3,082,914 | 3,009,480 | 3,019,591 | 3,030,664 | 2,966,315 |
| Other Research | 1,651,823 | 1,655,743 | 1,650,974 | 1,652,501 | 1,779,990 | 1,773,478 | 1,794,148 | 1,802,937 | 1,803,234 | 1,833,075 | 1,823,268 |
| Flexible Research Authority | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Subtotal Res. Grants | \$19,363,631 | \$19,729,195 | \$19,624,290 | \$19,695,300 | \$20,414,675 | \$20,931,270 | \$21,378,362 | \$21,240,464 | \$21,251,085 | \$21,353,002 | \$21,253,058 |
| Research Training | 740,506 | 743,861 | 731,121 | 763,797 | 770,480 | 776,313 | 775,186 | 771,766 | 771,766 | 777,761 | 775,318 |
| R & D Contracts | 2,691,897 | 2,516,611 | 2,582,606 | 2,693,443 | 2,934,858 | 3,056,888 | 3,143,929 | 2,996,640 | 3,227,139 | 2,967,896 | 3,075,882 |
| Intramural Research | 2,658,853 | 2,737,865 | 2,745,676 | 3,002,558 | 3,087,891 | 3,221,196 | 3,306,312 | 3,330,815 | 3,398,791 | 3,399,495 | 3,420,425 |
| Res. Mgt. & Support | 977,771 | 1,014,754 | 1,098,953 | 1,136,197 | 1,375,559 | 1,432,156 | 1,509,276 | 1,517,630 | 1,526,330 | 1,533,406 | 1,535,097 |
| Cancer Control ³ | 529,980 | 531,634 | 505,705 | 498,396 | | | | 0 | 0 | 0 | 0 |
| Construction | 118,148 | 178,560 | 29,700 | 14,100 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Library of Medicine ³ | 310,165 | 312,980 | 311,721 | 7,376 | | | | 8,200 | 8,200 | 8,200 | 8,200 |
| Office of the Director | 327,267 | 533,673 | 724,831 | 1,046,557 | 523,798 | 616,700 | 632,966 | 623,887 | 605,428 | 608,471 | 580,251 |
| Subtotal | \$27,718,218 | \$28,299,133 | \$28,354,603 | \$28,857,724 | \$29,107,261 | \$30,034,523 | \$30,746,031 | \$30,489,402 | \$30,788,739 | \$30,648,231 | \$30,648,231 |
| Buildings & Facilities ⁴ | 303,254 | 247,182 | 178,376 | 97,034 | 135,147 | 133,501 | 210,975 | 62,161 | 57,749 | 133,228 | 133,228 |
| Total | \$28,021,472 | \$28,546,315 | \$28,532,979 | \$28,954,758 | \$29,242,408 | \$30,168,024 | \$30,957,006 | \$30,551,563 | \$30,846,488 | \$30,781,459 | \$30,781,459 |
| Interior - Superfund | \$78,300 | \$79,836 | \$79,108 | \$79,111 | \$77,546 | \$78,074 | 79,212 | 79,045 | \$79,054 | \$78,928 | \$78,928 |
| Total Budget Authority | \$28,099,772 | \$28,626,151 | \$28,532,979 | \$29,033,869 | \$29,319,954 | \$30,246,098 | \$31,036,218 | \$30,630,608 | \$30,925,542 | \$30,860,387 | \$30,860,387 |

¹ All amounts include funds for Type I Diabetes Initiative

² Obligations for actual years do not include lapses

³ Beginning in FY 2008 NIH modified its traditional budget display by mechanism so that activities of the National Cancer Institute's Cancer Prevention and Control Program and the National Library of Medicine are allocated among the various trans-NIH mechanisms of support

⁴ Buildings & Facilities (B&F) includes B&F obligations, NCI obligations of \$7,920,000 in FY 2008 - FY 2011, and estimated NCI obligations of \$7,920,000 in FY 2012 - FY 2013

NATIONAL INSTITUTES OF HEALTH

Management Fund

General Statement

The NIH Management Fund (MF) was established on June 29, 1957, by Public Law 85-67. The MF was created to finance a variety of centralized support services and administrative activities that are required for the efficient and effective operation of all NIH programs and facilities. The services provided by the MF include a research hospital and outpatient clinic, receipt, review and referral of research and training grant applications, collaborative computer science research, police, fire, security and general administrative support services. Funds credited to the NIH Management Fund remain available for one fiscal year after the fiscal year in which they are deposited.

NATIONAL INSTITUTES OF HEALTH

MANAGEMENT FUND

Budget Authority by Activity

(dollars in thousands)

| | FY 2011 Actual | | FY 2012 Enacted | | FY 2013 President's Budget | | Change | |
|---|-------------------|------------------|--------------------|------------------|-------------------------------|------------------|-------------|---------------|
| | <u>FTEs</u> | <u>Amount</u> | <u>FTEs</u> | <u>Amount</u> | <u>FTEs</u> | <u>Amount</u> | <u>FTEs</u> | <u>Amount</u> |
| <u>Extramural Research</u> | | | | | | | | |
| <u>Detail:</u> | | | | | | | | |
| Center for Information Technology | 87 | \$23,404 | 87 | \$23,053 | 87 | \$23,053 | 0 | \$0 |
| Clinical Center | 1,902 | \$406,399 | 1,902 | 400,303 | 1,902 | 400,303 | 0 | \$0 |
| Center for Scientific Review, SREA | 296 | \$111,240 | 302 | 109,571 | 302 | 109,571 | 0 | \$0 |
| Research Support and Administrative Services, OD | 629 | \$76,127 | 629 | 74,963 | 629 | 74,963 | 0 | \$0 |
| Office of Research Services, Facilities, Development & Operations | 581 | \$97,342 | 581 | 95,882 | 581 | 95,882 | 0 | \$0 |
| TOTAL | 3,495 | \$714,512 | 3,501 | \$703,772 | 3,501 | \$703,772 | 0 | \$0 |

**NATIONAL INSTITUTES OF HEALTH
MANAGEMENT FUND**

Budget Authority by Object

| | FY 2012 Enacted | FY 2013 President's Budget | Increase or Decrease |
|---|----------------------------|---------------------------------------|---------------------------------|
| Total compensable workyears: | | | |
| Full-time employment | 3,501 | 3,501 | 0 |
| Full-time equivalent of overtime and holiday hours | 0 | 0 | 0 |
| Average ES salary | \$173,937 | \$174,187 | \$250 |
| Average GM/GS grade | 10.9 | 10.9 | 0.0 |
| Average GM/GS salary | \$87,585 | \$88,023 | \$438 |
| Average salary, grade established by act of July 1, 1944 (42 U.S.C. 207) | \$82,132 | \$82,543 | \$411 |
| Average salary of ungraded positions | 124,047 | 124,668 | 621 |
| | | | |
| OBJECT CLASSES | FY 2012 Enacted | FY 2013 President's Budget | Increase or Decrease |
| Personnel Compensation: | | | |
| 11.1 Full-time permanent | 149,156,000 | 150,100,000 | \$944,000 |
| 11.3 Other than full-time permanent | 111,534,000 | 111,950,000 | 416,000 |
| 11.5 Other personnel compensation | 15,715,000 | 15,761,000 | 46,000 |
| 11.7 Military personnel | 7,448,000 | 7,597,000 | 149,000 |
| 11.8 Special personnel services payments | 4,451,000 | 4,452,000 | 1,000 |
| Total, Personnel Compensation | 288,304,000 | 289,860,000 | 1,556,000 |
| 12.0 Personnel benefits | 78,020,000 | 78,410,000 | 390,000 |
| 12.2 Military personnel benefits | 4,909,000 | 4,920,000 | 11,000 |
| 13.0 Benefits for former personnel | 0 | 0 | 0 |
| Subtotal, Pay Costs | 371,233,000 | 373,190,000 | 1,957,000 |
| 21.0 Travel and transportation of persons | 2,547,000 | 2,547,000 | 0 |
| 22.0 Transportation of things | 680,000 | 680,000 | 0 |
| 23.1 Rental payments to GSA | 27,000 | 27,000 | 0 |
| 23.2 Rental payments to others | 110,000 | 110,000 | 0 |
| 23.3 Communications, utilities and miscellaneous charges | 5,245,000 | 5,205,000 | -40,000 |
| 24.0 Printing and reproduction | 1,650,000 | 1,650,000 | 0 |
| 25.1 Consulting services | 14,573,000 | 14,573,000 | 0 |
| 25.2 Other services | 113,099,000 | 111,375,000 | -1,724,000 |
| 25.3 Purchase of goods and services from government accounts | 89,700,000 | 89,547,000 | -153,000 |
| 25.4 Operation and maintenance of facilities | 10,550,000 | 10,510,000 | -40,000 |
| 25.5 Research and development contracts | -2,000 | -2,000 | 0 |
| 25.6 Medical care | 3,500,000 | 3,500,000 | 0 |
| 25.7 Operation and maintenance of equipment | 12,250,000 | 12,250,000 | 0 |
| 25.8 Subsistence and support of persons | 0 | 0 | 0 |
| 25.0 Subtotal, Other Contractual Services | 243,670,000 | 241,753,000 | -1,917,000 |
| 26.0 Supplies and materials | 62,350,000 | 62,350,000 | 0 |
| 31.0 Equipment | 16,250,000 | 16,250,000 | 0 |
| 32.0 Land and structures | 0 | 0 | 0 |
| 33.0 Investments and loans | 0 | 0 | 0 |
| 41.0 Grants, subsidies and contributions | 0 | 0 | 0 |
| 42.0 Insurance claims and indemnities | 0 | 0 | 0 |
| 43.0 Interest and dividends | 10,000 | 10,000 | 0 |
| 44.0 Refunds | 0 | 0 | 0 |
| Subtotal, Non-Pay Costs | \$332,539,000 | \$330,582,000 | (\$1,957,000) |
| Total Budget Authority by Object | \$703,772,000 | \$703,772,000 | \$0 |

Includes FTEs which are reimbursed from the NIH Common Fund.

**NATIONAL INSTITUTES OF HEALTH
MANAGEMENT FUND**

Detail of Positions

| GRADE | FY 2011 Actual | FY 2012 Enacted | FY 2013 PB |
|---|---------------------------|----------------------------|-----------------------|
| Total, ES Positions | 8 | 6 | 6 |
| Total, ES Salary | 1,403,238 | 1,043,838 | 1,045,120 |
| GM/GS-15 | 128 | 131 | 132 |
| GM/GS-14 | 252 | 262 | 265 |
| GM/GS-13 | 263 | 270 | 267 |
| GS-12 | 307 | 310 | 311 |
| GS-11 | 241 | 237 | 238 |
| GS-10 | 29 | 29 | 29 |
| GS-9 | 130 | 135 | 135 |
| GS-8 | 185 | 181 | 181 |
| GS-7 | 441 | 438 | 440 |
| GS-6 | 121 | 120 | 121 |
| GS-5 | 61 | 62 | 63 |
| GS-4 | 33 | 34 | 34 |
| GS-3 | 6 | 6 | 6 |
| GS-2 | 13 | 13 | 13 |
| GS-1 | 1 | 1 | 1 |
| Subtotal | 2,211 | 2,229 | 2,236 |
| Grades established by Act of July 1, 1944 (42 U.S.C. 207): | | | |
| Assistant Surgeon General | 0 | 0 | 0 |
| Director Grade | 19 | 19 | 20 |
| Senior Grade | 19 | 21 | 21 |
| Full Grade | 35 | 34 | 33 |
| Senior Assistant Grade | 9 | 9 | 10 |
| Assistant Grade | 11 | 9 | 8 |
| Subtotal | 93 | 92 | 92 |
| Ungraded | 1,302 | 1,295 | 1,288 |
| Total permanent positions | 2,346 | 2,342 | 2,343 |
| Total positions, end of year | 3,614 | 3,622 | 3,622 |
| Total full-time equivalent (FTE) employment, end of year | 3,495 | 3,501 | 3,501 |
| Average ES salary | 175,405 | 173,973 | 174,187 |
| Average GM/GS grade | 10.8 | 10.9 | 10.9 |
| Average GM/GS salary | 86,921 | 87,585 | 88,023 |

Includes FTEs which are reimbursed from the NIH Common Fund.

**NATIONAL INSTITUTES OF HEALTH
FY 2013 Congressional Justification**

Service and Supply Fund

General Statement

The NIH Service and Supply Fund (SSF) was established on July 3, 1945, under 42 U.S.C. 231. The SSF was created to finance a variety of centralized research support services and administrative activities that are required for the efficient and effective operation of all NIH programs and facilities. The SSF provides a single means for consolidating the financing and accounting of business-type operations, including the sales of services and commodities to customers. The services provided through the SSF include mainframe computing, enterprise IT software planning and development, facilities engineering, planning, and design, facility use and maintenance including leased buildings, printing, telecommunications, procurement, shipping and receiving, motor pool, research animals, fabrication and maintenance of scientific equipment, utilities and plant maintenance, finance and accounting operations, government-wide contracting for IT, biomedical engineering, security, consolidated human resources, and other administrative support services.

NATIONAL INSTITUTES OF HEALTH
SERVICE & SUPPLY FUND
Budget Authority by Activity
(Dollars in Thousands)

| | FY 2011 Actual | | FY 2012 Enacted | | FY 2013 President's Budget | | Change | |
|---|-------------------|--------------------|--------------------|--------------------|-------------------------------|--------------------|-------------|---------------|
| | <u>FTEs</u> | <u>Amount</u> | <u>FTEs</u> | <u>Amount</u> | <u>FTEs</u> | <u>Amount</u> | <u>FTEs</u> | <u>Amount</u> |
| <u>Detail:</u> | | | | | | | | |
| Research Support and Administrative | 855 | \$601,864 | 829 | \$591,795 | 829 | \$591,795 | 0 | \$0 |
| Office of Research Facilities Development & Operations | 627 | 452,760 | 658 | 445,969 | 658 | 445,969 | 0 | \$0 |
| Information Technology | 270 | 316,993 | 266 | 313,238 | 266 | 313,238 | 0 | \$0 |
| Clinical Center | 2 | 182 | 2 | 179 | 2 | 179 | 0 | \$0 |
| TOTAL | 1,754 | \$1,371,799 | 1,755 | \$1,351,181 | 1,755 | \$1,351,181 | 0 | \$0 |

Includes FTEs which are reimbursed from the NIH Common Fund.

**NATIONAL INSTITUTES OF HEALTH
SERVICE & SUPPLY FUND**

Budget Authority by Object

| | FY 2012 Enacted | FY 2013 PB | Increase or Decrease |
|---|----------------------------|-----------------------|---------------------------------|
| Total compensable workyears: | | | |
| Full-time employment | 1,755 | 1,755 | 0 |
| Full-time equivalent of overtime and holiday hours | 0 | 0 | 0 |
| Average ES salary | \$162,381 | \$163,193 | \$812 |
| Average GM/GS grade | 11.5 | 11.5 | 0.0 |
| Average GM/GS salary | \$115,053 | \$115,628 | \$575 |
| Average salary, grade established by act of July 1, 1944 (42 U.S.C. 207) | \$86,712 | \$87,146 | \$434 |
| Average salary of ungraded positions | 113,253 | 113,819 | 566 |
| OBJECT CLASSES | FY 2012 Enacted | FY 2013 PB | Increase or Decrease |
| Personnel Compensation: | | | |
| 11.1 Full-time permanent | \$166,509,000 | \$167,342,000 | \$833,000 |
| 11.3 Other than full-time permanent | 7,554,000 | 7,592,000 | 38,000 |
| 11.5 Other personnel compensation | 9,252,000 | 9,298,000 | 46,000 |
| 11.7 Military personnel | 1,919,000 | 1,957,000 | 38,000 |
| 11.8 Special personnel services payments | 86,000 | 86,000 | 0 |
| Total, Personnel Compensation | 185,320,000 | 186,275,000 | 955,000 |
| 12.0 Personnel benefits | 55,865,000 | 56,144,000 | 279,000 |
| 12.2 Military personnel benefits | 910,000 | 915,000 | 5,000 |
| 13.0 Benefits for former personnel | 708,000 | 712,000 | 4,000 |
| Subtotal, Pay Costs | 242,803,000 | 244,046,000 | 1,243,000 |
| 21.0 Travel and transportation of persons | 1,149,000 | 1,149,000 | 0 |
| 22.0 Transportation of things | 924,000 | 924,000 | 0 |
| 23.1 Rental payments to GSA | 26,688,000 | 26,688,000 | 0 |
| 23.2 Rental payments to others | 109,488,000 | 109,488,000 | 0 |
| 23.3 Communications, utilities and miscellaneous charges | 121,336,000 | 121,336,000 | 0 |
| 24.0 Printing and reproduction | 2,438,000 | 2,438,000 | 0 |
| 25.1 Consulting services | 159,672,000 | 159,065,000 | (607,000) |
| 25.2 Other services | 232,984,000 | 232,348,000 | (636,000) |
| 25.3 Purchase of goods and services from government accounts | 209,450,000 | 209,450,000 | 0 |
| 25.4 Operation and maintenance of facilities | 75,346,000 | 75,346,000 | 0 |
| 25.5 Research and development contracts | 0 | 0 | 0 |
| 25.6 Medical care | 5,428,000 | 5,428,000 | 0 |
| 25.7 Operation and maintenance of equipment | 74,945,000 | 74,945,000 | 0 |
| 25.8 Subsistence and support of persons | 0 | 0 | 0 |
| 25.0 Subtotal, Other Contractual Services | 757,825,000 | 756,582,000 | (1,243,000) |
| 26.0 Supplies and materials | 55,362,000 | 55,362,000 | 0 |
| 31.0 Equipment | 33,108,000 | 33,108,000 | 0 |
| 32.0 Land and structures | 2,000 | 2,000 | 0 |
| 33.0 Investments and loans | 0 | 0 | 0 |
| 41.0 Grants, subsidies and contributions | 0 | 0 | 0 |
| 42.0 Insurance claims and indemnities | 5,000 | 5,000 | 0 |
| 43.0 Interest and dividends | 53,000 | 53,000 | 0 |
| 44.0 Refunds | 0 | 0 | 0 |
| Subtotal, Non-Pay Costs | 1,108,378,000 | 1,107,135,000 | (1,243,000) |
| Total Budget Authority by Object | 1,351,181,000 | 1,351,181,000 | 0 |

Includes FTEs which are reimbursed from the NIH Common Fund.

**NATIONAL INSTITUTES OF HEALTH
SERVICE & SUPPLY FUND**

Detail of Positions

| GRADE | FY 2011 Actual | FY 2012 Estimate | FY 2013 Estimate |
|---|---------------------------|-----------------------------|-----------------------------|
| Total, ES Positions | 4 | 4 | 4 |
| Total, ES Salary | \$646,291 | \$646,291 | \$646,291 |
| GM/GS-15 | 70 | 71 | 71 |
| GM/GS-14 | 221 | 218 | 218 |
| GM/GS-13 | 428 | 423 | 423 |
| GS-12 | 293 | 290 | 290 |
| GS-11 | 97 | 97 | 97 |
| GS-10 | 3 | 3 | 3 |
| GS-9 | 92 | 92 | 92 |
| GS-8 | 41 | 41 | 41 |
| GS-7 | 84 | 84 | 84 |
| GS-6 | 23 | 23 | 23 |
| GS-5 | 35 | 35 | 35 |
| GS-4 | 19 | 19 | 19 |
| GS-3 | 7 | 7 | 7 |
| GS-2 | 6 | 6 | 6 |
| GS-1 | 2 | 2 | 2 |
| Subtotal | 1,421 | 1,411 | 1,411 |
| Grades established by Act of July 1, 1944 (42 U.S.C. 207): | | | |
| Assistant Surgeon General | 0 | 0 | 0 |
| Director Grade | 6 | 6 | 6 |
| Senior Grade | 4 | 4 | 4 |
| Full Grade | 6 | 6 | 6 |
| Senior Assistant Grade | 1 | 1 | 1 |
| Assistant Grade | 0 | 0 | 0 |
| Subtotal | 17 | 17 | 17 |
| Ungraded | 366 | 361 | 361 |
| Total permanent positions | 1,748 | 1,738 | 1,738 |
| Total positions, end of year | 1,808 | 1,798 | 1,798 |
| Total full-time equivalent (FTE) employment, end of year | 1,754 | 1,755 | 1,755 |
| Average ES salary | 161,573 | 161,573 | 163,193 |
| Average GM/GS grade | 11.5 | 11.5 | 11.5 |
| Average GM/GS salary | 113,182 | 115,053 | 115,628 |

Includes FTEs which are reimbursed from the NIH Common Fund.

National Institutes of Health
FY 2013 HHS Enterprise Information Technology and
Government-Wide E-Gov Initiatives

NIH Allocation Statement:

The NIH will use \$6,731,596 of its FY 2013 budget to support Department-wide enterprise information technology and government-wide E-Government initiatives. Operating Divisions help to finance specific HHS enterprise information technology programs and initiatives, identified through the HHS Information Technology Capital Planning and Investment Control process, and the government-wide E-Government initiatives. The HHS enterprise initiatives meet cross-functional criteria and are approved by the HHS IT Investment Review Board based on funding availability and business case benefits. Development is collaborative in nature and achieves HHS enterprise-wide goals that produce common technology, promote common standards, and enable data and system interoperability.

Of the amount specified above, \$1,378,638 is allocated to developmental government-wide E-Government initiatives for FY 2013. This amount supports these government-wide E-Government initiatives as follows:

| | |
|--|--------------------|
| FY 2013 Developmental E-Gov Initiatives* | |
| Line of Business - Human Resources | \$34,284 |
| Line of Business - Grants Management | \$62,554 |
| Line of Business - Financial | \$18,064 |
| Line of Business - Budget Formulation and Execution | \$13,263 |
| Disaster Assistance Improvement Plan | \$33,910 |
| Federal Health Architecture (FHA) | \$535,100 |
| Integrated Acquisition Environment-Grants and Loans | \$681,463 |
| Line of Business - Geospatial | \$0 |
| FY 2013 Developmental E-Gov Initiatives Total | \$1,378,638 |

* Specific levels presented here are subject to change, as redistributions to meet changes in resource demands are assessed.

Prospective benefits from these initiatives are:

Lines of Business-Human Resources Management: Provides standardized and interoperable HR solutions utilizing common core functionality to support the strategic management of Human Capital

Lines of Business-Grants Management (GMLOB): Supports end-to-end grants management activities promoting improved customer service; decision making; financial management processes; efficiency of reporting procedure; and, post-award closeout actions. The Administration for Children and Families (ACF), is a GMLOB consortia lead, which has allowed ACF to take on customers external to HHS. These additional agency users have allowed HHS to reduce overhead costs for internal HHS users. Additionally, NIH is an internally HHS-designated Center of Excellence. This effort has allowed HHS agencies using the NIH system to reduce grants management costs. Both efforts have allowed HHS to achieve economies of scale and efficiencies, as well as streamlining and standardization of grants processes, thus reducing overall HHS costs for grants management systems and processes.

Lines of Business –Financial Management: Supports efficient and improved business performance while ensuring integrity in accountability, financial controls and mission effectiveness by enhancing process improvements; achieving cost savings; standardizing business processes and data models; promoting seamless data exchanges between Federal agencies; and, strengthening internal controls.

Lines of Business-Budget Formulation and Execution: Allows sharing across the Federal government of common budget formulation and execution practices and processes resulting in improved practices within HHS.

Disaster Assistance Improvement Plan (DAIP): The DAIP, managed by Department of Homeland Security, assists agencies with active disaster assistance programs such as HHS to reduce the burden on other federal agencies which routinely provide logistical help and other critical management or organizational support during disasters.

Lines of Business-Federal Health Architecture: Creates a consistent Federal framework that improves coordination and collaboration on national Health Information Technology (HIT) Solutions; improves efficiency, standardization, reliability and availability to improve the exchange of comprehensive health information solutions, including health care delivery; and, to provide appropriate patient access to improved health data. HHS works closely with federal partners, state, local and tribal governments, including clients, consultants, collaborators and stakeholders who benefit directly from common vocabularies and technology standards through increased information sharing, increased efficiency, decreased technical support burdens and decreased costs.

In addition, \$5,352,958 is allocated to ongoing government-wide E-Government initiatives for FY 2013. This amount supports these government-wide E-Government initiatives as follows:

| | |
|--|--------------------|
| FY 2013 Ongoing E-Gov Initiatives* | |
| E-Rule Making | \$20,847 |
| Integrated Acquisition Environment | \$560,290 |
| GovBenefits | \$103,718 |
| Grants.Gov | \$4,668,103 |
| FY 2013 Ongoing E-Gov Initiatives Total | \$5,352,958 |

* Specific levels presented here are subject to change, as redistributions to meet changes in resource demands are assessed.

**National Institutes of Health
FY 2013 Congressional Justification**

Physicians' Comparability Allowance (PCA) Worksheet

| | | PY 2011 (Actual) | CY 2012 (Estimates) | BY 2013* (Estimates) |
|--|---|-----------------------------|--------------------------------|---------------------------------|
| 1) Number of Physicians Receiving PCAs | | 164 | 164 | 164 |
| 2) Number of Physicians with One-Year PCA Agreements | | 4 | 4 | 4 |
| 3) Number of Physicians with Multi-Year PCA Agreements | | 160 | 160 | 160 |
| 4) Average Annual PCA Physician Pay (without PCA payment) | | \$144,575 | \$144,575 | \$144,575 |
| 5) Average Annual PCA Payment | | \$17,333 | \$17,333 | \$17,333 |
| 6) Number of Physicians Receiving PCAs by Category (non-add) | Category I Clinical Position | | | |
| | Category II Research Position | 159 | 159 | 159 |
| | Category III Occupational Health | | | |
| | Category IV-A Disability Evaluation | | | |
| | Category IV-B Health and Medical Admin. | 5 | 5 | 5 |

*FY 2013 data will be approved during the FY 2014 Budget cycle.

- 7) If applicable, list and explain the necessity of any additional physician categories designated by your agency (for categories other than I through IV-B). Provide the number of PCA agreements per additional category for the PY, CY and BY.

N/A

- 8) Provide the maximum annual PCA amount paid to each category of physician in your agency and explain the reasoning for these amounts by category.

Maximum annual PCA amount for category II is \$30,000. This amount is necessary to retain the caliber of physician needed to carry out the NIH mission which directly impacts the health of the nation.

Maximum annual PCA amount for category IV-B is \$30,000. This amount is necessary to retain the caliber of physician needed to administer critical NIH programs, directly impacting and enhancing the health of the nation.

- 9) Explain the recruitment and retention problem(s) for each category of physician in your agency (this should demonstrate that a current need continues to persist).

(Please include any staffing data to support your explanation, such as number and duration of unfilled positions and number of accessions and separations per fiscal year.)

NIH continues to have difficulty recruiting and retaining qualified physicians to the federal service due to compensation issues. It is clear that this continues to be an issue for the entire federal government since OPM has given agencies Direct Hire authority for physicians. The average length of time that an NIH physician position is open is typically nine months. Overall, NIH had a physician separation rate of 2.8% for FY 2010. The unfilled physician FTE rate in FY 2010 was 15%.

- 10) Explain the degree to which recruitment and retention problems were alleviated in your agency through the use of PCAs in the prior fiscal year.

(Please include any staffing data to support your explanation, such as number and duration of unfilled positions and number of accessions and separations per fiscal year.)

With the volume of NIH physician vacancies and the need for positions to be filled due to ongoing critical research, PCA has been an instrumental tool in attracting and retaining qualified physicians.

- 11) Provide any additional information that may be useful in planning PCA staffing levels and amounts in your agency.

**National Institutes of Health
FY 2013 Congressional Justification**

OppNet Funding
(Dollars in Thousands)

| IC | FY 2011 | FY 2012 | FY 2013 |
|--------------------------|-----------------|-----------------|-----------------|
| NCI | \$1,716 | \$3,456 | \$3,451 |
| NHLBI | 1,042 | 2,098 | 2,095 |
| NIDCR | 139 | 280 | 278 |
| NIDDK | 608 | 1,224 | 1,220 |
| NINDS | 550 | 1,108 | 1,106 |
| NIAID | 1,621 | 3,060 | 3,061 |
| NIGMS | 690 | 1,656 | 1,620 |
| NICHD | 447 | 900 | 899 |
| NEI | 238 | 479 | 472 |
| NIEHS | 232 | 467 | 466 |
| NIA | 373 | 752 | 751 |
| NIAMS | 181 | 365 | 365 |
| NIDCD | 141 | 284 | 284 |
| NIMH | 501 | 1,009 | 1,007 |
| NIDA | 356 | 718 | 718 |
| NIAAA | 156 | 313 | 311 |
| NINR | 49 | 99 | 98 |
| NHGRI | 174 | 349 | 348 |
| NIBIB | 106 | 231 | 229 |
| NIMHD | 71 | 188 | 190 |
| NCRR | 427 | 0 | 0 |
| NCCAM | 43 | 87 | 87 |
| NCATS | 0 | 392 | 435 |
| FIC | 24 | 47 | 48 |
| NLM | 114 | 230 | 254 |
| OD ² | 0 | 207 | 207 |
| TOTAL¹ | \$10,000 | \$20,000 | \$20,000 |

¹ Type 1 Diabetes and B&F are excluded.

² ORIP & SEPA components of OD are included reflecting the NCATS reorganization in FY 2012.

**National Institutes of Health
FY 2013 Congressional Justification**

**Statistical Data -- Grants, Direct, and Indirect Cost Awarded
(Dollars in Thousands)**

| Fiscal Year | Direct Cost Awarded | Indirect Cost Awarded | Percent of Total | | Percent Change | |
|----------------------------|---------------------|-----------------------|---------------------|-----------------------|---------------------|-----------------------|
| | | | Direct Cost Awarded | Indirect Cost Awarded | Direct Cost Awarded | Indirect Cost Awarded |
| FY 2002 | \$12,822,068 | \$4,835,456 | 72.6% | 27.4% | 13.4% | 11.7% |
| FY 2003 | \$14,445,631 | \$5,301,292 | 73.2% | 26.8% | 12.7% | 9.6% |
| FY 2004 | \$14,892,783 | \$5,647,066 | 72.5% | 27.5% | 3.1% | 6.5% |
| FY 2005 | \$15,419,089 | \$5,795,178 | 72.7% | 27.3% | 3.5% | 2.6% |
| FY 2006 | \$15,219,138 | \$5,781,293 | 72.5% | 27.5% | -1.3% | -0.2% |
| FY 2007 | \$15,387,745 | \$5,876,060 | 72.4% | 27.6% | 1.1% | 1.6% |
| FY 2008 | \$15,295,950 | \$5,903,730 | 72.2% | 27.8% | -0.6% | 0.5% |
| FY 2009 | \$15,683,872 | \$6,027,543 | 72.2% | 27.8% | 2.5% | 2.1% |
| FY 2010 | \$16,040,991 | \$6,193,567 | 72.1% | 27.9% | 2.3% | 2.8% |
| FY 2011 | \$15,849,082 | \$6,173,769 | 72.0% | 28.0% | -1.2% | -0.3% |
| FY 2012 Enacted | \$15,972,524 | \$6,158,239 | 72.2% | 27.8% | 0.8% | -0.3% |
| FY 2013 President's Budget | \$15,898,628 | \$6,129,748 | 72.2% | 27.8% | -0.5% | -0.5% |

Note: FY 2012 and FY 2013 data represent estimates and will change as actual data is received

**NATIONAL INSTITUTES OF HEALTH
FY 2013 Congressional Justification**

**Research Project Grants: Total Number of Awards and Dollars ^{1/}
Includes Type 1, Common Fund, and Bridge Awards
(Dollars in Thousands)**

| | FY 2004 | FY 2005 | FY 2006 | FY 2007 | FY 2008 | FY 2009 | FY 2010 | FY 2011 | FY 2012 Enacted Level ^{2/} | FY 2013 Pres. Budget Request ^{2/} |
|--|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|--|---|
| No. of Awards: | | | | | | | | | | |
| Competing | 10,020 | 9,599 | 9,129 | 10,323 | 9,714 | 9,121 | 9,386 | 8,706 | 8,743 | 9,415 |
| Noncompeting | 27,040 | 27,385 | 27,366 | 26,741 | 26,610 | 26,217 | 25,738 | 26,166 | 25,614 | 24,837 |
| Subtotal | 37,060 | 36,984 | 36,495 | 37,064 | 36,324 | 35,338 | 35,124 | 34,872 | 34,357 | 34,252 |
| SBIR/STTR | 2,181 | 1,924 | 1,822 | 1,781 | 1,838 | 1,740 | 1,685 | 1,494 | 1,587 | 1,636 |
| Total | 39,241 | 38,908 | 38,317 | 38,845 | 38,162 | 37,078 | 36,809 | 36,366 | 35,944 | 35,888 |
| Average Annual Cost: | | | | | | | | | | |
| Competing | \$355.7 | \$354.8 | \$368.3 | \$367.0 | \$377.4 | \$427.2 | \$417.1 | \$427.5 | \$423.1 | \$430.9 |
| Total RPGs ^{3/} | \$392.9 | \$401.8 | \$403.2 | \$404.7 | \$413.5 | \$437.5 | \$450.4 | \$452.6 | \$460.1 | \$460.2 |
| Percent Change over prior year average costs: | | | | | | | | | | |
| Competing RPGs | 5.1% | -0.3% | 3.8% | -0.4% | 2.8% | 13.2% | -2.4% | 2.5% | -1.0% | 1.8% |
| Total RPGs ^{3/} | 3.6% | 2.3% | 0.3% | 0.4% | 2.2% | 5.8% | 2.9% | 0.5% | 1.7% | 0.0% |
| Average Length of Award in Years | | | | | | | | | | |
| | 3.8 | 3.8 | 3.8 | 3.8 | 3.8 | 3.8 | 3.7 | 3.7 | 3.7 | 3.7 |

1/ Beginning in FY 2007, RPG funded by the National Cancer Institute's Cancer Prevention & Control program and the National Library of Medicine are included in grant numbers and dollar amounts.

2/ Numbers of grants identified in FY 2012 Conference Level and FY 2013 PB Submission are estimates, and will change as applications are received and selected for funding.

3/ Includes Noncompeting RPGs and Administrative Supplements and excludes SBIR/STTR.

**National Institutes of Health
FY 2013 Congressional Justification**

Size of Research Grant Awards

(Whole Dollars)

| | FY 2011 Actual | FY 2012 Enacted | FY 2013 President's Budget |
|-------------------------------|----------------------------|----------------------------|---------------------------------------|
| Number of Awards ¹ | 44,508 | 44,164 | 44,022 |
| Average Award | \$461,697 | \$483,493 | \$482,783 |
| Range of Awards ² | \$1,000 to \$55,996,921 | \$1,000 to \$55,996,921 | \$1,000 to \$55,436,952 |

1. The number of grants includes all Extramural Research Grants excludes Training Grants (Ts and Fs)

2. Award ranges exclude minimum values of zero to under \$1,000 related primarily to no-cost extensions and co-funded actions.

NATIONAL INSTITUTES OF HEALTH
Research Project Grants
Success Rates
FY 2004 - FY 2013 ^{1/}, ^{2/}

| INSTITUTES & CENTERS | FY 2004 | FY 2005 | FY 2006 | FY 2007 | FY 2008 | FY 2009 | FY 2010 | FY 2011 | FY 2012 Enacted | FY 2013 President's Budget | INSTITUTES & CENTERS |
|-------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|-----------------|----------------------------|----------------------|
| NCI | 24% | 20% | 19% | 20% | 21% | 19% | 17% | 14% | 14% | 15% | NCI |
| NHLBI | 29% | 24% | 20% | 21% | 22% | 22% | 20% | 17% | 15% | 17% | NHLBI |
| NIDCR | 30% | 24% | 19% | 22% | 20% | 19% | 22% | 23% | 23% | 24% | NIDCR |
| NIDDK | 27% | 24% | 21% | 21% | 25% | 23% | 26% | 21% | 20% | 21% | NIDDK |
| NINDS | 25% | 22% | 18% | 19% | 21% | 21% | 23% | 21% | 20% | 24% | NINDS |
| NIAID | 24% | 25% | 21% | 23% | 23% | 19% | 24% | 20% | 22% | 22% | NIAID |
| NIGMS ^{6/} | 30% | 27% | 26% | 32% | 27% | 27% | 27% | 23% | 25% | 24% | NIGMS |
| NICHD | 17% | 18% | 15% | 21% | 17% | 15% | 15% | 12% | 12% | 12% | NICHD |
| NEI | 30% | 26% | 23% | 27% | 30% | 30% | 27% | 29% | 33% | 30% | NEI |
| NIEHS | 19% | 19% | 22% | 19% | 18% | 18% | 25% | 15% | 15% | 17% | NIEHS |
| NIA | 21% | 19% | 17% | 22% | 20% | 18% | 15% | 16% | 16% | 17% | NIA |
| NIAMS | 20% | 20% | 19% | 20% | 21% | 20% | 21% | 15% | 14% | 16% | NIAMS |
| NIDCD | 35% | 27% | 28% | 31% | 29% | 32% | 30% | 28% | 27% | 29% | NIDCD |
| NIMH | 24% | 21% | 20% | 22% | 21% | 22% | 22% | 17% | 21% | 23% | NIMH |
| NIDA | 27% | 22% | 20% | 23% | 24% | 22% | 20% | 18% | 18% | 21% | NIDA |
| NIAAA | 29% | 31% | 27% | 27% | 26% | 24% | 27% | 19% | 19% | 19% | NIAAA |
| NINR | 21% | 24% | 18% | 26% | 20% | 21% | 13% | 9% | 12% | 11% | NINR |
| NHGRI | 23% | 18% | 34% | 28% | 32% | 34% | 34% | 27% | 38% | 38% | NHGRI |
| NIBIB ^{6/} | 17% | 20% | 17% | 22% | 19% | 18% | 16% | 13% | 12% | 15% | NIBIB |
| NIMHD ^{3/} | N/A | N/A | N/A | N/A | N/A | 11% | 8% | 12% | 11% | 11% | NIMHD |
| NCCAM | 17% | 17% | 14% | 11% | 12% | 12% | 11% | 9% | 6% | 10% | NCCAM |
| NCATS ^{4/} | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 18% | 69% | NCATS |
| FIC | 22% | 24% | 19% | 25% | 28% | 21% | 26% | 12% | 15% | 18% | FIC |
| NLM ^{5/} | N/A | N/A | N/A | 19% | 21% | 12% | 21% | 16% | 12% | 13% | NLM |
| Common Fund | 13% | 17% | 10% | 7% | 12% | 17% | 11% | 11% | 17% | 16% | Common Fund |
| OD-ORIP/SEPA ^{4/ 6/} | 21% | 14% | 13% | 20% | 15% | 22% | 22% | 21% | 21% | 22% | OD-ORIP/SEPA |
| NIH | 25% | 22% | 20% | 21% | 21% | 21% | 21% | 18% | 18% | 19% | NIH |

1/ Includes Biodefense and Type 1 Diabetes. Excludes NIEHS Superfund.

2/ Application success rates represent the percentage of applications that are awarded during the fiscal year.

3/ NIMHD (formally NCMHD) success rates are not available (NA) due to co-funding agreements with other ICs through FY 2008. NIMHD only co-funded competing RPGs with other ICs until FY 2009.

4/ The National Center for Advancing Translational Sciences (NCATS) was established concurrent with the dissolution of National Center for Research Resources (NCRR) effective FY 2012.

5/ NLM success rate is displayed for FY 2007 and forward due to change in the reporting requirements. As of FY 2007, NLM funding is no longer reflected as an individual line item on the NIH Budget Mechanism Table.

6/ Applications numbers include proportional adjustments of the competing RPG grant count for NCRR. Success rate for Office of Research Infrastructure Programs (ORIP)/Science Education Partnership Award (SEPA) Program assumes same number of applications for FY 2011 through FY 2013 based on available information.

Note: Success Rates identified in FY 2012 and FY 2013 are estimates, and will change as applications are received and selected for funding.